

## Rothenberg Wealth Management Amazon Gift Card Giveaway

### Official Contest Rules

NO PURCHASE OR PAYMENT NECESSARY TO ENTER OR WIN. A PURCHASE OR PAYMENT WILL NOT INCREASE YOUR CHANCE OF WINNING. Internet access is required to participate.

**Name of Contest:** This contest is referred to as the “Explore the World of Wealth Management Amazon Gift Card Giveaway” (the “**Contest**”). The Contest is the Sponsored and administered solely by Rothenberg Wealth Management, 4420 Rue Sainte-Catherine Street Ouest, Montreal, QC H3Z 1R2 (the “**The Sponsor**”).

**Eligibility:** The Contest is open to all legal residents of Canada who are over the legal age of majority in their province/territory of residence at the time of entry. Notwithstanding the foregoing, this Contest is not open to employees, representatives or agents (and those with whom such persons are domiciled, whether related or not) of the Sponsor, its subsidiaries, affiliates, prize suppliers, advertising/promotion agencies and any other entity involved in the development, production, implementation, administration, judging or fulfillment of the Contest (collectively, the “**Contest Parties**”).

**Entry Period:** The Contest begins on June 9, 2023 at 12:00:01 p.m. Eastern Time (“**ET**”) and ends on July 7, 2023 at 11:59:59 a.m. ET (the “**Contest Period**”). The Sponsor’s computer is the official time-keeping device for the Contest.

**How to Enter:** To obtain one (1) Entry into the Contest, entrant must: (a) Like the Sponsor’s Facebook post about the giveaway; (b) leave a comment on the Sponsor’s Facebook post about the giveaway with the wealth management service he/she would like to learn more about; and (c) follow the Sponsor’s Facebook page during the Contest period. To be eligible, an Entry must be submitted and received in accordance with these Rules (as determined by the Sponsor in its sole and absolute discretion). To obtain up to three (3) additional or bonus entries, entrant must do satisfy the above conditions and may tag up to three (3) friends in the comment section under the Facebook post about the giveaway. All eligible Entries submitted and received in accordance with these Rules will be eligible for the prize draw.

BY SUBMITTING AN ENTRY, ENTRANT ACKNOWLEDGES THAT HIS/HER ENTRY (INCLUDING HIS/HER PROFILE NAME AND PROFILE PICTURE) MAY, AT THE DISCRETION OF THE SPONSOR, BE POSTED ON FACEBOOK AND/OR THE SPONSOR’S PROPRIETARY WEBSITE, WHERE IT MAY BE VIEWED, SHARED (BY USERS OF FACEBOOK AND VARIOUS OTHER INTERNET-BASED SITES) AND COMMENTED ON BY THE GENERAL PUBLIC.

**Entry Limit:** Limit of up to four (4) Entries per Facebook account and person during the Contest Period. Multiple entrants are not permitted to share the same Facebook account. If an Entrant submits or attempts to submit more than one (1) Entry by using multiple/different Facebook accounts, identities, registrations, or logins, or through any other methods, their Entry or Entries will be voided and they will be excluded from participating in the Contest. In the event of a dispute as to the owner of an Entry, the authorized account holder of the Facebook account used for the Entry will be deemed to be the entrant. The Contest Parties and each of their respective officers, directors, agents, representatives, successors and assigns (collectively, the “**Released Parties**”) are not responsible for Entries that are posted late or incorrectly (all of which are void).

**The Sponsor’s Right to Verify:** If it is discovered by the Sponsor (using any evidence or other information made available to or otherwise discovered by the Sponsor) that any person has attempted to use multiple Facebook accounts to enter or otherwise participate in or disrupt this Contest; then he/she may be

disqualified from the Contest in the sole and absolute discretion of the Sponsor. All Entries are subject to verification at any time and for any reason. The Sponsor reserves the right, in its sole and absolute discretion, to require proof of identity and/or eligibility (in a form acceptable to the Sponsor – including, without limitation, government issued photo identification): (i) for the purposes of verifying an individual's eligibility to participate in this Contest; (ii) for the purposes of verifying the eligibility and/or legitimacy of any Entry submitted (or purportedly submitted); and/or (iii) for any other reason the Sponsor deems necessary, in its sole and absolute discretion, for the purposes of administering this Contest in accordance with the letter and spirit of these Rules. Failure to provide such proof to the complete satisfaction of the Sponsor within the timeline specified by the Sponsor may result in disqualification in the sole and absolute discretion of The Sponsor.

**Prize and its Value:** There will be one (1) prize available to be won, consisting of a \$100 Amazon Gift Card (the “Prize”).

**Determination of Winner:** One (1) winner will be selected by random draw from among all eligible entries in accordance with these Rules to win the Prize.

**Winner Notification and Confirmation Process:** The Prize is non-transferable and may not be substituted, except by the Sponsor. The Sponsor will notify the winner on or about July 7, 2023 via direct message on Facebook. Winner must respond to the Sponsor in the manner specified by the Sponsor in the notification within seventy-two (72) hours after notification. Upon being timely contacted by the winner, The Sponsor will determine, in its sole discretion, how to arrange for the delivery of the prize to the winner. Failure to respond to the notification within the allotted time period will result in the disqualification of the winner and the forfeiture of the prize. If the winner is disqualified, an alternate winner may be selected from the remaining eligible Entries based on the criteria specified above.

BEFORE BEING DECLARED THE CONFIRMED PRIZE WINNER, the selected entrant will be required to correctly answer a time limited mathematical skill-testing question to be posed via a mutually convenient form of communication and to be administered at a mutually agreeable date and time without mechanical or other aid. If the selected entrant: (a) fails to correctly answer the skill-testing question within the allotted time; (b) cannot accept (or is unwilling to accept) the Prize as awarded for any reason; and/or (c) is determined to be in violation of these Rules (all as determined by the Sponsor in its sole and absolute discretion); then he/she may, in the sole and absolute discretion of the Sponsor, be disqualified (and, if disqualified, will forfeit all rights to the Prize) and the Sponsor reserves the right, in its sole and absolute time permitting, to randomly select an alternate eligible entrant from among the remaining eligible Entries (in which case the foregoing provisions of this section shall apply to such newly selected entrant).

**Limitations of Liability:** The Released Parties will not be liable for: (i) any failure of any website during the Contest and Contest Period; (ii) any technical malfunction or other problems relating to the telephone network or lines, computer on-line systems, servers, access providers, computer equipment or software; (iii) the failure of any Entry or other Contest-related information to be received, captured or recorded for any reason, including, but not limited to, technical problems or traffic congestion on the internet or at any website; (iv) any injury or damage to an entrant's or any other person's computer or other device related to or resulting from participating in the Contest; and/or (v) any combination of the above.

**Miscellaneous:** This contest is subject to all applicable federal, provincial and municipal laws. The decisions of the Sponsor with respect to all aspects of this Contest are final and binding on all entrants without right of appeal, including, without limitation, any decisions regarding the eligibility/disqualification of Entries and/or entrants. By participating in this Contest, you are agreeing to be legally bound by the terms and conditions of these Rules as outlined in this document. ANYONE

DETERMINED TO BE IN VIOLATION OF THESE RULES FOR ANY REASON IS SUBJECT TO DISQUALIFICATION IN THE SOLE AND ABSOLUTE DISCRETION OF THE SPONSOR AT ANY TIME.

The Sponsor reserves the right, subject only to the approval of the Régie des alcools, des courses et des jeux (the “Régie”) in Quebec, to withdraw, amend or suspend this Contest (or to amend these Rules) in any way, in the event of an error, technical problem, computer virus, bugs, tampering, unauthorized intervention, fraud, technical failure or any other cause beyond the reasonable control of the Sponsor that interferes with the proper conduct of this Contest as contemplated by these Rules without prior notice or obligation. Any attempt to deliberately damage any website or to undermine the legitimate operation of this Contest in any way (as determined by the Sponsor in its sole and absolute discretion) is a violation of criminal and civil laws and should such an attempt be made, the Sponsor reserves the right to seek remedies and damages to the fullest extent permitted by law. The Sponsor, subject only to the consent of the Régie, reserves the right to cancel, amend or suspend this Contest, or to amend these Rules, in any way without prior notice or obligation, in the event of any accident, printing, administrative, or other error of any kind, or for any other reason whatsoever. Without limiting the generality of the forgoing, the Sponsor reserves the right, in its sole and absolute discretion, to administer an alternate test of skill as it deems appropriate based on the circumstances and/or to comply with applicable law.

For Quebec residents: Any litigation respecting the conduct or organization of a publicity contest may be submitted to the Régie des alcools, des courses et des jeux for a ruling. Any litigation respecting the awarding of a prize may be submitted to the board only for the purpose of helping the parties reach a settlement.

The Sponsor, subject only to the consent of the Régie, reserves the right (and without any other prior notice), to adjust any of the dates and/or timeframes stipulated in these Rules, to the extent necessary, for purposes of verifying compliance by any entrant or Entry with these Rules, or as a result of any technical or other problems, or in light of any other circumstances which, in the opinion of the Sponsor, in its sole and absolute discretion, affect the proper administration of the Contest as contemplated in these Rules, or for any other reason.

In the event of any discrepancy or inconsistency between the terms and conditions of these English Rules and disclosures or other statements contained in any Contest-related materials, including, but not limited to: the French version of these Rules, and/or point of sale, television, print or online advertising; the terms and conditions of these English Rules shall prevail, govern and control to the fullest extent permitted by law.

If you have any questions, please send an email to [inforequest@rothenberg.ca](mailto:inforequest@rothenberg.ca).